

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## BEST AVAILABLE IMAGES

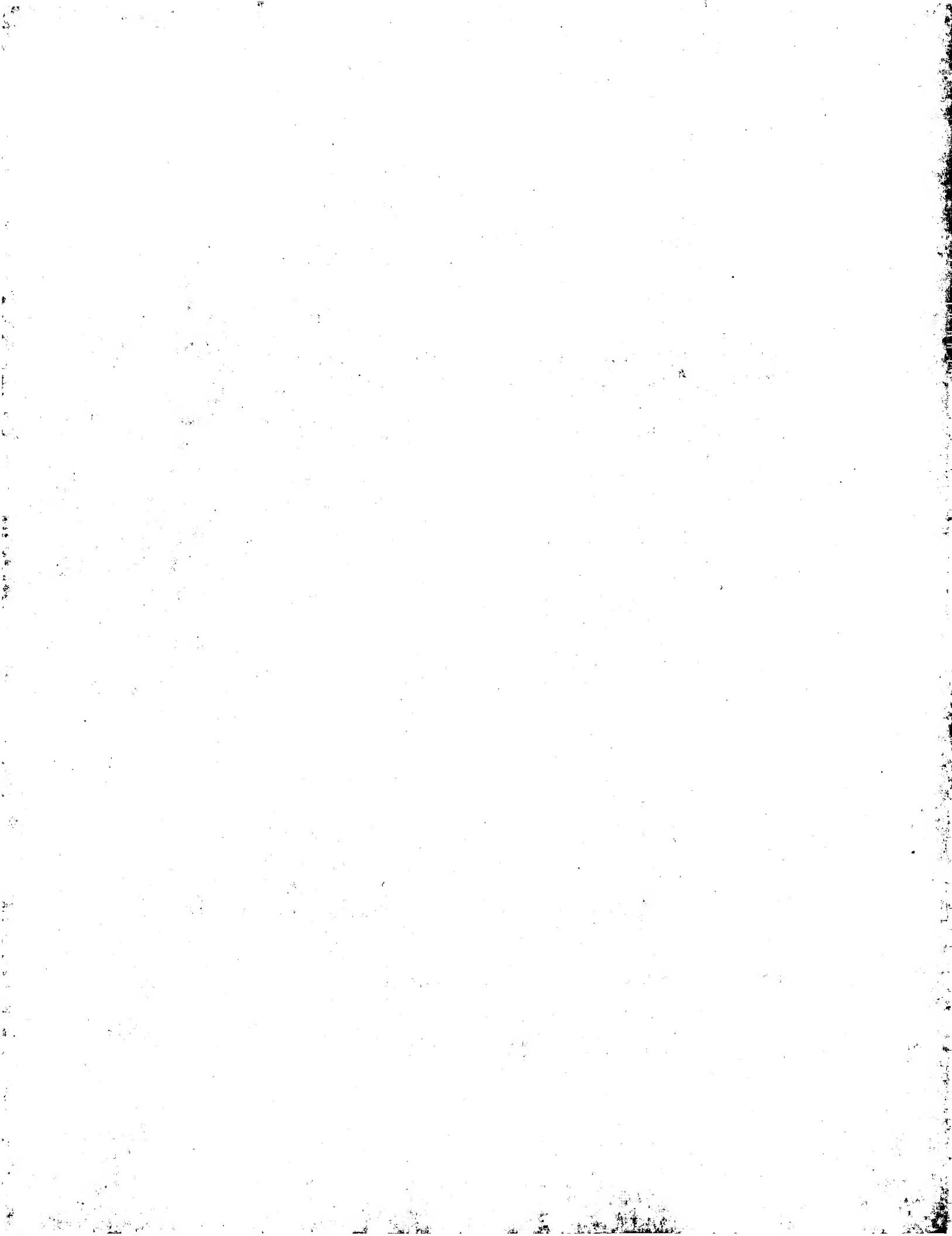
Defective images within this document are accurate representation of  
The original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: <b>A61K 38/19</b>		(11) International Publication Number: <b>WO 95/29696</b>
		(43) International Publication Date: 9 November 1995 (09.11.95)
(21) International Application Number: PCT/US95/05015		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(22) International Filing Date: 27 April 1995 (27.04.95)		
(30) Priority Data: 08/235,673 29 April 1994 (29.04.94) US		Published <i>With international search report.</i>
(71) Applicant: UNIVERSITY OF MIAMI [US/US]; 1400 N.W. 10th Avenue, Miami, FL 33101 (US).		
(72) Inventors: PFLUGFELDER, Stephen, C.; 9945 S.W. 57th Court, Miami, FL 33156 (US). YOSHINO, Kenichi; 7730 Camino Real, Miami, FL 33143 (US). TSENG, Schetler, C., G.; 10000 S.W. 63rd Place, Miami, FL 33156 (US). HUANG, Andrew, J., W.; 5845 S.W. 100th Street, Miami, FL 33156 (US).		
(74) Agents: LESTER, Michelle, N. et al.; Cushman, Darby & Cushman L.L.P., 1100 New York Avenue, N.W., Washington, DC 20005 (US).		
(54) Title: PRODUCTS OF LACRIMAL GLAND FOR TEAR REPLACEMENT		
(57) Abstract		
The present invention relates to medicinal compositions and more particularly refers to such compositions for tear replacement therapy having products of human lacrimal gland acinar epithelia, and more specifically, growth factors or cytokines, in particular, the transforming growth factor beta (TGF $\beta$ ).		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LJ	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

TITLE

Products of Lacrimal Gland for Tear Replacement.

**BACKGROUND OF THE INVENTION****5 1. Field of the Invention**

The present invention relates to medicinal compositions and more particularly refers to such compositions for tear replacement therapy having products of human lacrimal gland acinar epithelia, 10 and more specifically, growth factors or cytokines, in particular, the transforming growth factor beta (TGF $\beta$ ).

**2. Background information**

Aqueous tear deficiency is a common condition that 15 in its most severe form may be associated with disabling ocular irritation, and visual morbidity due to corneal epitheliopathy and/or ulceration. The conjunctival pathology of Sjogren's Syndrome (SS), the most severe type of aqueous tear deficiency, 20 consists of abnormal terminal differentiation with significantly reduced bulbar goblet cell densities (Pflugfelder, S.C. et al. Ophthalmology 1990;97:985-991), decreased expression of mucins by the superficial epithelium (Table I) (Pflugfelder, 25 S.C. et al. 1994 ARVO abstracts. Invest. Ophthalmol. Vis. Sci. 1994; 34: 1692)), and aberrant expression of immune activation markers (HLA Class II antigens and ICAM I) and interleukin 6 (IL-6) (Jones, D.T. et al. Invest. Ophthalmol. Vis. Sci. (in press)).

TABLE I

Results of Immunohistochemical Staining of Bulbar Conjunctival Epithelial Cells on Impression Cytology  
Specimens using Mucin-Specific Antibody L6

Group (% +)	Temporal Conjunctiva (% +)	Inferior Conjunctiva
Sjogren Syndrome (SS) ATD	18.2	18.2
Non Sjogren Syndrome ATD	66.7	88.9
Inflammatory MGD	77.8	88.9
Atrophic MGD	77.8	100
Control	100	100
	SS vs Inflam. MGD p=0.022 SS vs non SS ATD p=0.005	
	SS vs Atrophic MGD p=0.022 SS vs Inflam. MGD p=0.005	
	SS vs control p=0.001	SS vs Atrophic MGD p=0.001
		SS vs control p=0.001

ATD = aqueous tear deficiency, MGD = meibomian gland disease

At the present time, biological activity of tears on the health and differentiation of the ocular surface epithelia has not been evaluated. Clinical signs and ocular surface pathologic changes in patients with aqueous tear deficiency suggest that the tears may have more than a lubricating role for the ocular surface. One of the most specific clinical signs of severe aqueous tear deficiency is staining of the conjunctival and/or cornea with the diagnostic dye rose-bengal. Recently reported experimental evidence suggests that rose-bengal staining of the ocular surface epithelia may result from lack of cell coating by normal tear constituents, predominantly tear mucins (Feenstra, R.P. and Tseng, S.C.G. Arch. Ophthalmol. 1992;110:984-993). Mucin-producing goblet cells and production of cell-membrane associated mucins by the superficial stratified epithelia are markers of terminal differentiation in the normal human conjunctiva. A marked reduction in expression of

both types of conjunctival mucin has been detected in the conjunctival epithelia of Sjogren's Syndrome patients (Pflugfelder, S.C. et al. Ophthalmology 1990;97:985-991. Pflugfelder, S.C. et al., 1994 ARVO abstracts Invest. Ophthalmol. Vis. Sci. 1994; 34: 1692).

Although this may be due in part to mechanical trauma related to the reduced preocular tear film, it may also represent abnormal terminal differentiation due to lack of biologically active tear constituents. At the present time, epidermal growth factor (EGF) is the only cytokine that has been detected in human tears (van Seten, G.B. et al. Graeffe's Arch. Clin. Exp. Ophthalmol. 1989;227: 184-187). Reduced tear EGF concentrations have been reported in one patient with aqueous tear deficiency (van Seten, G.B. et al. Curr. Eye Res. 1991; 10:523-527; however, the biologic activity of tear EGF has not been evaluated.

Tear secretion by the human lacrimal gland is influenced by neurotransmitters and hormones (Dartt, D. Curr. Eye Res. 1989;8:619-636; Sullivan, D.A. The Neuro Endocrine-immune Network S. Freier, Editor. Boca Raton, FL 1990 CRC Press, pp 199-238). Jordan and Baum have reported that the majority of tear secretion is reflexive, resulting from sensory stimulation of the lids and ocular surface (Jordan, A. and Baum, J. Ophthalmology 1980;87:920-930). A marked reduction in neural-stimulated tear secretion is an early clinical sign in Sjogren's Syndrome (Tsubota, K. Am. J. Ophthalmol. 1991; 111: 106-108), but the clinical consequences of reduced neural-stimulated tears have not been established.

We recently discovered that the pathologic changes associated with Sjogren's Syndrome may be due in part to reduced concentrations of cytokines produced by the lacrimal gland and secreted into the tears  
5 that are essential for normal health and differentiation of the ocular surface epithelia. Based on its ability to induce differentiation of intestinal mucosa (Kurokawa, M. et al., Biochem. Biophys. Comm. 1987; 142:775-782), and corneal epithelia (Kruse, F.E. and Tseng. S.C.G. Invest. Ophthalmol. Vis. Sci. 1993;34: 1963- 1976), and its ability to down regulate HLA Class II antigen and IL-6 expression (Lucas, C. et al. Ciba Foundation 1991; 157:98- 114), we hypothesized that  
10 transforming growth factor beta (TGF $\beta$ ) may be one of the biologically essential tear cytokines.  
15 Recently, TGF has been reported to be produced by mammary gland acini (Maier, R. et al. Mol. Cell. Endocrinol. 1991 ;82: 192-198) and secreted into milk.  
20

TGF $\beta$  is a multi-functional biologically essential cytokine. TGF $\beta$  has a spectrum of biologic activity and has been reported to induce differentiation and inhibit proliferation of mucosal epithelia,  
25 including rabbit corneal epithelia (Kurokawa, M. et al. Biochem. Biophys. Comm. 1987; 142:775-782; Kruse, F.E. and Tseng, S.C.G. Invest. Ophthalmol. Vis. Sci. 1993;34: 1963-1976).  
TGF $\beta$  has also been reported to stimulate synthesis  
30 of extra cellular matrix components and has been shown to induce these effects on corneal stromal fibroblasts (Ohji, M. et al. Curr. Eye. Res. 1993;12:703-709). Finally, TGF $\beta$  has immunosuppressive activity that includes inhibition

of T-cell proliferation, down regulation of expression of inflammatory cytokines such as IL-6 and immune activation markers such as HLA class II antigens (Lucas, C. et al. Ciba Foundation 1991; 157:98- 114).

At the present time, commercially available artificial tear replacements are composed of synthetic polymers, buffers, and electrolytes in an aqueous solution. Examples of such solutions 10 include "BION" (Alcon Laboratories, Fort Worth, TX) and "REFRESH PLUS" (Allersan, Irvine, CA). Major components of commercially available artificial tear replacement solutions, Ophthalmic lubricants which protect the eye from drying, and ocular 15 decongestants, are listed in TABLES II, III, and IV, respectively. These solutions contain no biologically active components to modulate the health and differentiation of ocular surface epithelia. Tear replacement therapies containing 20 biologically active components could potentially reverse pathologic ocular surface epithelial changes, and would present a great advance in treatment of severe aqueous tear deficiency states.

**TABLE II****ARTIFICIAL TEAR PREPARATIONS**

MAJOR COMPONENT	CONCENTRATION	TRADENAME	PRESERVATIVE/EDTA
Carboxy methylcellulose	0.5% 1%	Cellufresh Celluvisc	None None
Hydroxyethyl cellulose		Lytears TearGard	Benzalkonium Cl + EDTA Sorbic Acid + EDTA
Hydroxyethyl cellulose + Polyvinyl Alcohol		Neo-Tears	Thimerosal + EDTA
Hydroxyethyl cellulose + Povidone		Adsorbotear	Thimerosal + EDTA
Hydroxypropyl Cellulose		Lacrisert (Biodegradable insert)	None
Hydroxypropyl Methylcellulose	0.5% 1%	Isopto Plain Isopto Tears Tearisol Isopto Alkaline Ultra Tears	Benzalkonium Cl Benzalkonium Cl Benzalkonium Cl + EDTA Benzalkonium Cl Benzalkonium Cl
Hydroxypropyl Methylcellulose + Dextran 70		Tears Naturale Tears Naturale II Tears Naturale Free	Benzalkonium Cl + EDTA Polyquad None
Hydroxypropyl Methylcellulose + Gelatin A		Lacril	Chlorobutanol + Polysorbate 80
Methylcellulos	1%	Murocel	Methyl- + Propylparabens
Polyvinyl Alcohol	1.4% 3%	Akwa Tears Just Tears Liquifilm Tears Liquifilm Forte	Benzalkonium Cl + EDTA Benzalkonium Cl + EDTA Chlorobutanol Thimerosal + EDTA
Polyvinyl Alcohol + PEG-400 + Dextrose	1%	Hypotears Hypotears PF	Benzalkonium Cl + EDTA EDTA
Polyvinyl Alcohol + Povidone	1.4% 0.6%	Murine Refresh Tears Plus	Benzalkonium Cl + EDTA None Chlorobutanol

**TABLE III**  
**OPHTHALMIC LUBRICANTS**

TRADE NAME	COMPOSITION
AKWA Tears Ointment (Akorn)	Sterile ointment containing white petrolatum, liquid lanolin, and mineral oil.
Duolube (Bausch & Lomb)	Sterile ointment containing white petrolatum and mineral oil.
Duratears Naturale (Alcon)	Sterile ointment containing white petrolatum, liquid lanolin, and mineral oil.
HypoTears (Iolab)	Sterile ointment containing white petrolatum and light mineral oil.
Laci-Lube S.O.P. (Allergan)	Sterile ointment containing 42.5% mineral oil, 55% white petrolatum, lanolin alcohol, and chlorobutanol.
Refresh P.M. (Allergan)	Sterile ointment containing 41.5% mineral oil, 55% white petrolatum, petrolatum, and lanolin alcohol.

**TABLE IV**  
**OCULAR DECONGESTANTS**

DRUG	TRADE NAME	ADDITIONAL COMPONENTS
Naphazoline Hydrochloride	AK-Con*	Benzalkonium Cl + edetate disodium
	Albalon*	Benzalkonium Cl + edetate disodium
	Clear Eyes	Benzalkonium Cl + edetate disodium
	Digest 2	Benzalkonium Cl + edetate disodium
	Naphcon*	Benzalkonium Cl + edetate disodium
	Opcon*	Benzalkonium Cl + edetate disodium
	Vasoclear	Benzalkonium Cl + edetate disodium
	Vasocon Regular*	Phenylmercuric acetate
Phenylephrine Hydrochloride	AK-Nefrin	Benzalkonium Cl + edetate disodium
	Efricel	Benzalkonium Cl + edetate disodium
	Eye Cool	Thimerosal + edetate disodium
	Isopto Frin	Benzalkonium Cl + edetate disodium
	Prefrin Liquifilm	Benzalkonium Cl + edetate disodium
	Relief	-
	Tear-Efrin	Benzalkonium Cl + edetate disodium
Tetrahydrozoline Hydrochloride	Velva-Kleen	Thimerosal + edetate disodium
	Collyrium	Benzalkonium Cl + edetate disodium
	Murine Plus	Benzalkonium Cl + edetate disodium
	Soothe*	Benzalkonium Cl + edetate disodium
	Tetracon	Benzalkonium Cl + edetate disodium
DECONGESTANT/ASTRINGENT COMBINATIONS		
Naphazoline Hydrochloride plus Zinc Sulfate	Clear Eyes ACR (Allergy/Cold Relief)	Benzalkonium Cl + edetate disodium
Phenylephrine Hydrochloride plus Zinc Sulfate	Prefrin-Z Zincfrin	Thimerosal Benzalkonium Cl
Tetrahydrozoline plus Zinc Sulfate	Visine A.C.	Benzalkonium Cl + edetate disodium

\*Prescription medication

## SUMMARY OF THE INVENTION

We have recently been able to culture human lacrimal gland acinar epithelia which secrete proteins typically produced by lacrimal gland secretory acini *in vivo* (Yoshino, K. et al., Proceedings of the Fourth International Symposium on Sjogren's Syndrome (1993), p. 804). In addition, we have evaluated human tears for TGF $\beta$  using the CCL-64 mink lung epithelial cell (MLEC) growth inhibition assay and sELISA. Results indicate that human lacrimal gland acini produce and secrete TGF $\beta$  into the tears, and that there are factors in human tears capable of binding TGF $\beta$ .

It is therefore an object of the present invention to provide cultured human lacrimal gland acinar epithelia as a model of *in vivo* secretory acinar function. These cultures can be used for testing of agents which stimulate or inhibit tear secretion and the analysis of biologically active tear constituents that are secreted by the lacrimal gland which can be used for the treatment of diseases affecting the ocular epithelia. Specifically, diseases of the ocular surface associated with aqueous tear deficiency.

It is another object of the present invention to provide a medicinal formulation suitable for the treatment of various conditions which result in tear deficiency or ocular irritation. Conditions benefiting from physiologic tear replacement include patients with lacrimal gland dysfunction, destruction or surgical removal (Sjogren's Syndrome, post radiation, altered innervation, surgical removal for treatment of tumor).

It is yet another object of the invention to provide tear replacement compositions containing TGF $\beta$  which are more effective than the composition presently in use which do not contain biologically active components. According to the present invention, tear replacement compositions are provided by adding TGF $\beta$  to a pharmaceutical composition for application to the eye in order to lubricate the eye or to supplement tears.

According to the present invention, tear replacement compositions as stated above may also contain any or other components produced by lacrimal gland epithelia, naturally present in human tears such as antimicrobial proteins (for example lactoferrin and lysozyme), retinol binding protein (for example tear specific pre-albumin), biologically active components or cytokines such as epidermal growth factor, or retinol.

Compositions according to the present invention can be used to treat aqueous tear deficiency and conditions associated with alterations of the ocular surface epithelia including hyperproliferation, squamous metaplasia, loss of goblet cells, and abnormal terminal differentiation among other ocular surface pathologic changes that lead to ocular irritation.

The foregoing and other objects, advantages and characterizing features of this invention will become apparent from the following description of certain illustrative embodiments of the invention.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A. Expression of TGF $\beta$ 1 mRNA in normal human lacrimal gland biopsies and cultured human lacrimal gland acinar epithelia. PCR products of the appropriate size (161 bp) from amplification of cDNA prepared from human lacrimal gland epithelial cultures (lane 1) and human lacrimal gland biopsies (lane 2-4) with TGF- $\beta$ 1 specific primers were noted on an ethidium bromide stained agarose gel (upper figure) and Southern hybridization (bottom figure). Lane 5 contains TGF- $\beta$ 1 cDNA. Lane 6 - blank, Lane 7- molecular weight standards.

Figure 1B. Expression of TGF $\beta$ 2 mRNA in normal human lacrimal gland biopsies and cultured human lacrimal gland acinar epithelia. A PCR product of approximately 450 bp was noted on an ethidium bromide stained agarose gel of amplification of cDNA prepared from human lacrimal gland epithelial cultures (lane 1) and human lacrimal gland biopsies (lanes 2-4) with TGF- $\beta$ 2 specific primers. On Southern hybridization, three hybridizations signals with approximate sized of 350-, 450-, and 500-bp were obtained from cDNA prepared from cultured lacrimal gland epithelia (lane 1) and one lacrimal gland biopsy (lane 2), while only two hybridization bands (350 and 450 bps) were obtained from cDNA prepared from the other two lacrimal gland biopsies (lanes 3 and 4). Multiple sized PCR products are most likely due to alternate splicing of the region of the TGF- $\beta$ 2 gene amplified with these primers. Lane 5 contains TGF- $\beta$ 2 cDNA. Lane 6 - blank, Lane 7- molecular weight standards.

Figure 2A. Expression of TGF $\beta$ 1 and TGF $\beta$ 2 protein in normal human lacrimal gland biopsies. (a) majority of tubuloacinar structures in all five human lacrimal gland biopsies showed immunoreactivity to a polyclonal antibody to all isotypes of TGF- $\beta$  (pan TGF- $\beta$  Ab, 40x original magnification). (b) Absence of immunoreactivity to TGF- $\beta$ 2-specific antisera was noted in all lacrimal gland biopsies (400x original magnification). (c) and (d). TGF- $\beta$ 1-specific antibodies produced strong immunoreactivity with epithelial cells in four or five lacrimal gland biopsies. The strongest staining with TGF- $\beta$ 1 antibodies was noted in the apical secretory portion and lumens of acinar epithelial complexes ((c) - 100x, (d) -100x original magnification). (e) and (f). In sections where entire Tubuloacinar structures were visualized (asterisk), TGF- $\beta$ 1 staining appeared stronger in acinar than ductal epithelia ((e) imunofluorescent staining, (f) - phase, 100x original magnification).

Figure 2B. Expression of TGF $\beta$ 1 and TGF $\beta$ 2 protein in cultured human lacrimal gland acinar epithelia. The cytoplasm of cultured human lacrimal gland epithelia stained with both TGF- $\beta$ 1 (top figure) and TGF- $\beta$ 2 (bottom figure) antisera (100x original magnification).

Figure 3. Results of ELISA for TGF- $\beta$ 1 and TGF- $\beta$ 2 in supernatants (spnt) from human lacrimal gland acinar epithelial cultures and control media. TGF- $\beta$ 1 [] in culture supernatants were significantly greater than media or TGF- $\beta$ 2 (\*0.169ng/ml±0.021) in culture supernatants ( $P<0.05$ )

Figure 4. Growth inhibitory effects of native human tears in mink lung epithelial cell bioassay.

Figure 5. Concentration of TGF $\beta$  in native tears treated with various physicochemical techniques.

5       Figure 6. Growth inhibitory effects of human tears following acidification or treatment with n-acetylcysteine ("MUCOSIL™", DEY Laboratories, Napa, CA) and heating.

10      Figure 7. Effect of TGF $\beta$  isotype specific neutralizing antisera on antiproliferative effects of human tears.

15      Figure 8. Results of ELISA for TGF- $\beta$ 1 and TGF- $\beta$ 2 for human tears. TGF- $\beta$  concentration in tears is 0.521 ng/ml +0.321. Tear TGF- $\beta$ 1 concentrations were significantly greater than TGF- $\beta$ 2 ( $P<0.05$ ).

20      Figure 9. Western blot of native tears treated with n-acetylcysteine and heating, showing pro-TGF- $\beta$  binding to high MW complexes (about 1000 kD, probably mucins), and monomeric TGF- $\beta$ . Lane 1 purified TGF- $\beta$ 1. (R&D), monomer band is present at approximately 12.5kD (arrowhead); Lane 2. blank; Lane 3. native tears - a high molecular weight band (approximately 100kD asterisk) is noted; lanes 4-6: tears treated with n-acetylcysteine and heating (lane 4), acidification with HCl (lane 5), and acidification followed by reduction with DTT (lane 6). Two bands of immunoreactivity were noted with these specimens, a stronger band at approximately 110kD, the size of the pro-TGF- $\beta$  complex (LAP plus

cytokine, star) and a weaker band of the same size as monomeric TGF- $\beta$  (approximately 12.5 kD. arrowhead)

#### DETAILED DESCRIPTION OF THE INVENTION

5 In accordance with the present invention, tear replacement compositions containing TGF $\beta$ , where TGF $\beta$  is either TGF- $\beta$ 1 or TGF- $\beta$ 2 or a combination thereof, by way of non limiting illustration, be applied to the eye in animals and humans as a drop or within  
10 ointments, gels, liposomes, or biocompatible polymer discs or pellet. They can be attached to, carried by and/or contained within contact lenses that are placed on the eye. In general, it is desired that the mode of application be such that the composition  
15 enters the tear film or otherwise makes contact with the surface of the eye.

Further in accordance with the invention, a replacement tear composition is made by combining TGF $\beta$  with a physiologically acceptable carrier.  
20 Preferably, the preparation will be unit dose, refrigerated, with or without preservative. The composition may also contain a physiologically compatible ophthalmic vehicle as those skilled in the art can select using conventional criteria. The vehicles may be selected from the known ophthalmic vehicles which include but are not limited to water, polyethers such as polyethylene glycol 400, polyvinyls such as polyvinyl alcohol, povidone, cellulose derivatives such as carboxy  
25 methylcellulose, methylcellulose and hydroxypropyl methylcellulose, petroleum derivatives such as mineral oil and white petrolatum, animal fats such  
30

as lanolin, vegetable fats such as peanut oil, polymers of acrylic acid such as carboxylpolymethylene gel, polysaccharides such as dextrans and glycosaminoglycans such as sodium 5 hyaluronate and salts such as sodium chloride and potassium chloride, calcium chloride, magnesium chloride, zinc chloride, and buffer such as sodium bicarbonate or sodium lactate. High molecular weight molecules can also be used, such as mucins.

10 Preferred preservatives are physiologically compatible and do not inactivate TGF $\beta$  or other peptides or cytokines present in the composition. Preservatives include but are not limited to alcohols such as chlorobutanol, and benzalkonium Cl 15 and EDTA, though other appropriate preservatives known to those skilled in the art may be used.

In a preferred embodiment, the concentration of TGF $\beta$  in the tear solution is from 250 pg/ml to 12.5 ng/ml, preferably 200 pg/ml to 12.0 ng/ml. Active 20 TGF $\beta$  concentrations in human tears range from 250 pg/ml to 12.5 ng/ml (mean 3.83 ng/ml). There appears to be a total latent TGF $\beta$  concentration of approximately 30 ng/ml in tears. Ideally, therapeutic TGF $\beta$  should be administered bound to its 25 natural carrier or binding protein(s) in tears. At the present time, these appear to be mucins because immunoreactivity of TGF $\beta$  in native tears is at a high molecular weight (approximately 1000 kD), the molecular weight of tear mucins. Data suggests that 30 most TGF $\beta$  in tears is in the proform (approximately 110 kD). Typically, this proform is converted to the active form by proteolytic enzymes such as plasmin. Plasminogen activator is normally found in human tears. It is likely that concentrations of this

protein are reduced in patients with aqueous tear deficiency. Therefore, it may be necessary to use purified (lyophilized) active TGF $\beta$ . The source of this cytokine is not essential. It could be  
5 purified from platelets (a rich source of TGF $\beta$ 1) or recombinant TGF $\beta$  could be used. Alternatively, cultured lacrimal gland acini could serve as the source of TGF $\beta$ . Other lacrimal gland produced tear constituents which may be desirable to add to  
10 physiologic tear replacements include, lactoferrin, 1-3 g/L (Kijlstra, A. et al. (1983), Br. J. Ophthalmol. 67:199-202), lysozyme, 0.5-4.5 g/L, and Tear specific pre-albumin, 0.5-1.5 g/L (Berman, E.R. Biochemistry of the Eye, Ed. C. Blakemore, Plenum  
15 Press, New York, 1991), mucins, and epidermal growth factor (EGF) 0.75-9.7 ng/ml (van Setten, G.B. et al. (1989) Graeffe's Arch. Clin. Exp. Ophthalmol. 22:184-187; Ohashi, Y. et al. (1989) Invest. Ophthalmol. Vis. Sci. 30:1879-1882), and Vitamin A,  
20 16 ng/ml of retinol (Vitamin A is present in tears as retinol but would need to be added to tear replacement as trans retinoic acid) (Ubels, J. L. and Mac Rae, S. M. (1984) Current Eye Res. 3:815-822).  
25 The following examples are presented to illustrate further various aspects of the present invention, but are not intended to limit the scope of the invention in any respect.

EXAMPLE 1

Production of TGF $\beta$  by human lacrimal gland epithelia.

We have recently evaluated normal human lacrimal gland biopsies and cultured human lacrimal gland acinar epithelia (Yoshino, K. et al. Sjorgren's Syndrome- Proceedings of the Fourth International Symposium, 1993. Ed. M. Homma, S. Sugai, T. Tojo, N. Miyasajka and M. Akizuki, Kugler Publications, 1994, Amsterdam/New York) for expression of TGF $\beta$ 1 and TGF $\beta$ 2 mRNA and protein using RT-PCR, sELISA and immunohistochemistry, techniques known in the art (Ji, Z. et al. Invest. Ophthalmol. Vis. Sci. (1994 ARVO abstracts) 1994; 34: 1792). TGF $\beta$ 1 and  $\beta$ 2 mRNA expression was found in both lacrimal gland biopsies and acinar cultures (Figures 1A and 1B). In lacrimal gland biopsies, immunoreactivity to TGF $\beta$ 1 but not TGF- $\beta$ 2 was detected in the secretory portion of the lacrimal gland acinar epithelia adjacent to the lumen by immunohistochemistry (Figure 2A). The cytoplasm of cultured acinar epithelia showed immunoreactivity to both TGF $\beta$ 1 and  $\beta$ 2 specific antisera (Figure 2B). TGF $\beta$ 1 was detected in supernatants of lacrimal gland acinar cultures in significantly greater concentrations (0.5-2 ng/ml) than the control (culture media on substrate) by sandwich ELISA (sELISA, Figure 3). Furthermore, stimulation of cultured human lacrimal gland acini with 0.01mM carbachol (a cholinergic agonist) resulted in at least a 30% increase in TGF $\beta$ 1 concentrations in the supernatants. These experiments indicate that TGF $\beta$  is produced and secreted by human lacrimal gland

acinar epithelia, and that this secretion may be enhanced by cholinergic stimulation.

EXAMPLE 2

TGF $\beta$  in human tears.

5 We recently evaluated human tears for TGF $\beta$  using the CCL-64 mink lung epithelial cell (MLEC) growth inhibition assay, a conventional assay for the detection of TGF $\beta$ , and sELISA (Danielpour D. et al. (1989) Cell Physiol. 138:79-86). Native human  
10 tears produced an anti-proliferative effect in the MLEC assay; however, a flat growth inhibition curve with rapid loss of anti proliferative activity after 3 to 7 serial dilutions was noted with native tears (Figure 4). Heating and acidification, two  
15 physicochemical techniques previously reported to activate latent TGF $\beta$  increased the concentration of TGF $\beta$  in human tears calculated at the midpoint of the growth inhibition curves (Figure 5). Furthermore, incubation of human tears with  
20 n-acetylcysteine ("MUCOCIL™", DEY Laboratories, Napa, CA), a mucolytic and reducing agent, followed by heating at 80°C for 8 minutes appeared to release latent TGF $\beta$  in tear samples, compared to tears treated by heating alone (Figure 6). Following this  
25 treatment, a growth inhibition curve with a slow decay of the growth inhibition activity as tear specimens were serially diluted was obtained that resembled the curve obtained with serially diluted purified human platelet TGF $\beta$ 1 (Figure 6). The  
30 anti-proliferative effect of human tears in the MLEC assay could be inhibited by pre-incubation with TGF $\beta$ 1 neutralizing anti-sera but not by TGF $\beta$ 2-specific antisera (Figure 7).

The presence of TGF $\beta$  in human tears was confirmed by TGF $\beta$ 1 sELISA. TGF $\beta$ 1 was not detected in native tear samples by sELISA; however, pre-treatment of human tears with n-acetylcysteine followed by heating resulted in an average detectable tear TGF $\beta$ 1 concentrations of 45 ng/ml (range 19.99-67.7 ng/ml). TGF $\beta$ 2 was detected in human tears by sELISA at very low concentrations (521 pg/ml with a range of 316-891 pg/ml) compared to TGF $\beta$ 1 ( $p<0.05$ ).

SDS-PAGE and immunoblotting experiments were performed to confirm the molecular weights (MW) of TGF $\beta$  complexes in human tears.

#### EXAMPLE 3

15       **Western blot analysis**

Western blots were preformed as follows. Kaleidoscope pre-stained molecular weight standard was purchased from Bio-Rad (Richmond, CA). Human platelet TGF $\beta$ 1, rabbit anti-pan isotype TGF $\beta$  was purchased from R&D Systems, Inc. Anti-rabbit and anti-goat IgG-POD were purchased from Boehringer Mannheim (Indianapolis, IN).

Fresh human tear specimens were activated by the following methods: (1) heating at 80°C for 7 minutes and immediately placed on ice; (2) diluted 1:1 with 10% N-acetylcysteine "MUCOCIL"™, DEY Laboratories, Napa, CA) then heated at 80°C for 7 minutes and immediately placed on ice, (3) acidification by adjusting pH to 2 with 1N HCl and incubating at room temperature for 1 hour. The pH was then neutralized with one NaOH, (4) acidification, then reduction by addition of 5ul of 1 M dithiothreitol (DTT). All activated specimens

were then added to 2X sample buffer and boiled at 100°C for 3 minutes.

Mini-protein II 4-20% Ready gels were used for SDSpolyacrylamide gel electrophoresis (SDS-page) and 5 were purchased from Bio-Rad. Running buffer contained Tris/glycine with SDS. Electrophoresis was performed at constant voltage (125V) in a Bio-Rad mini-protein II electrophoresis cell until the dye marker had reached the bottom of the gel.

10 Electrophoretic transfer on to PVDF membrane (Millipore, Beford, MA) was performed with a Bio-Rad Trans-Blot cell. Transfer buffer consisted of glycine/ethanolamine and 20% methanol. Prior to transfer, the PVDF membrane was pre-wet in 100% 15 methanol, rinsed with distilled water and immersed for 15 minutes in buffer. Transfer was performed at 20V overnight. After electroblotting, membranes were stained with Pouceu S (Sigma) for 2 minutes, then rinsed with water and air dried.

20 Immunodetection was performed using a Bio-Rad chemiluminescent detection kit. The PVDF membrane was wet with 100% methanol, then rinsed with distilled water. The membrane was then incubated for 1 hour in blocking solution (1% blocking reagent 25 in TBS) on a shaking incubator. The membrane was then incubated for one hour with primary antibody diluted in 0.5% blocking solution. Dilution of Pan-TGFI3 antibody was 1:2000 (1 $\mu$ g/ $\mu$ l). The membrane was then washed twice in TBST for 10 minutes each, then washed twice with 0.5% blocking 30 solution. The membrane was then incubated for 1 hour with POD-conjugated secondary antibody diluted 1:1000 in 0.5% blocking solution. The membrane was then washed four times with TBST for 15 minutes

each. Excess buffer was then drained from the washed membrane, and it was placed in a staining dish and incubated for 30 minutes at room temperature with a mixture of solutions A and B (diluted 1:100 and incubated for 30 minutes at room temperature prior to addition). Approximately 125 µl/cm sq. was added to the membrane container and incubated for 1 minute. The wet membrane was immediately placed into a plastic hybridization bag and the bubbles were removed. The membrane (protein side up) was placed into a film cassette against a sheet of X-ray film (X-Omat, Kodak, Rochester, NY) and was exposed for 1 minute, then developed. Either no immunoreactive bands or high MW bands (> 250,000 kD) were observed in native or heat treated tears. Treatment of tears with n-acetylcysteine and heating, HCl, or HCl plus DTT resulted in immunoreactive bands at 110kD, and 12.5 kD using TGF $\beta$  specific antisera (Figure 9). These bands correspond to the published MWs of pro-TGF $\beta$  complexes and monomeric TGF $\beta$ .

Taken together, these results indicate than native human tears contain a small amount of biologically active TGF $\beta$  (approximately 3.8 ng/ml), and a greater amount of latent TGF $\beta$  that can be released by a variety of physiochemical techniques. TGF $\beta$ 1 is the predominant isoform in tears. Our finding of TGF $\beta$ 1 production by human lacrimal gland secretory acini coupled with the previously reported relative lack of immunoreactivity of human ocular surface epithelia for TGF $\beta$  (Pasquale, L.R. et al. Invest. Ophthalmol. Vis. Sci. 1993;94:23-30) (only superficial limbal epithelia were positive) suggests

that some, if not the majority, of TGF $\beta$  in human tears may be produced by the lacrimal gland.

WHAT IS CLAIMED IS:

1. A topical ophthalmic composition suitable for administering to the eye comprising a pharmaceutically effective amount of a cytokine and a pharmaceutically acceptable carrier.
2. The composition according to claim 1 wherein the cytokine is transforming growth factor beta (TGF $\beta$ ).
3. The composition according to claim 2 wherein active TGF $\beta$  is present in the amount of 250 pg/ml to 12.5 ng/ml.
4. The composition according to claim 2 which further comprises other growth factors selected from the group consisting of EGF, BFGF, and retinoic acid.
5. The composition of claim 1 wherein the composition is in the form of a gel or a liquid.
6. A method of ameliorating a tear deficiency condition comprising the steps of administering to the ocular surface a pharmaceutically effective amount of an ophthalmological composition comprising a pharmaceutically effective amount of TGF $\beta$  in a pharmaceutically acceptable carrier.
7. The method according to claim 6 for the tear deficiency condition is dry eye.

8. The method according to claim 7 wherein said dry eye disease is Sjogren's Syndrome.

9. The method according to claim 7 wherein the dry eye condition results from a condition selected from the group consisting of hyperproliferation, squamous metaplasia, loss of goblet cells, and abnormal terminal differentiation.

10. A cell culture comprising human lacrimal gland cells which mimic in vivo lacrimal gland cells and which can be modulated to affect their capability to synthesize and secrete tear proteins.

11. A process for measuring effect of an agent or a composition as to its ability to stimulate or inhibit tear secretion, said process comprising:

adding a compound or agent of interest to a culture containing the cells of claim 10, and

measuring a predetermined parameter of the cells and comparing this to a baseline value to determine the degree of activity or effect.

12. In a pharmacological preparation for the replacement of tears wherein the improvement comprises TGF $\beta$  in a pharmaceutically acceptable carrier.

1 / 10

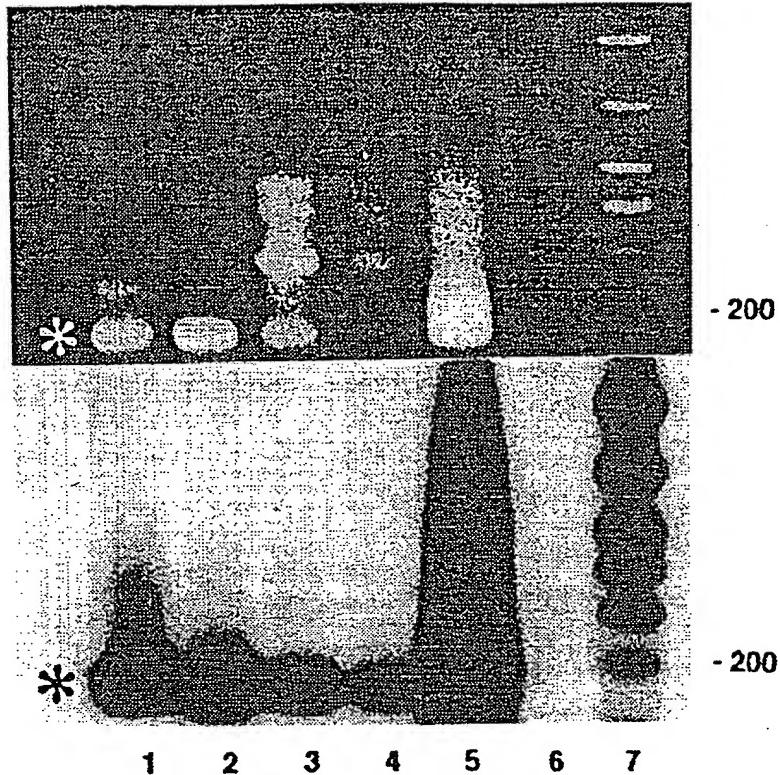
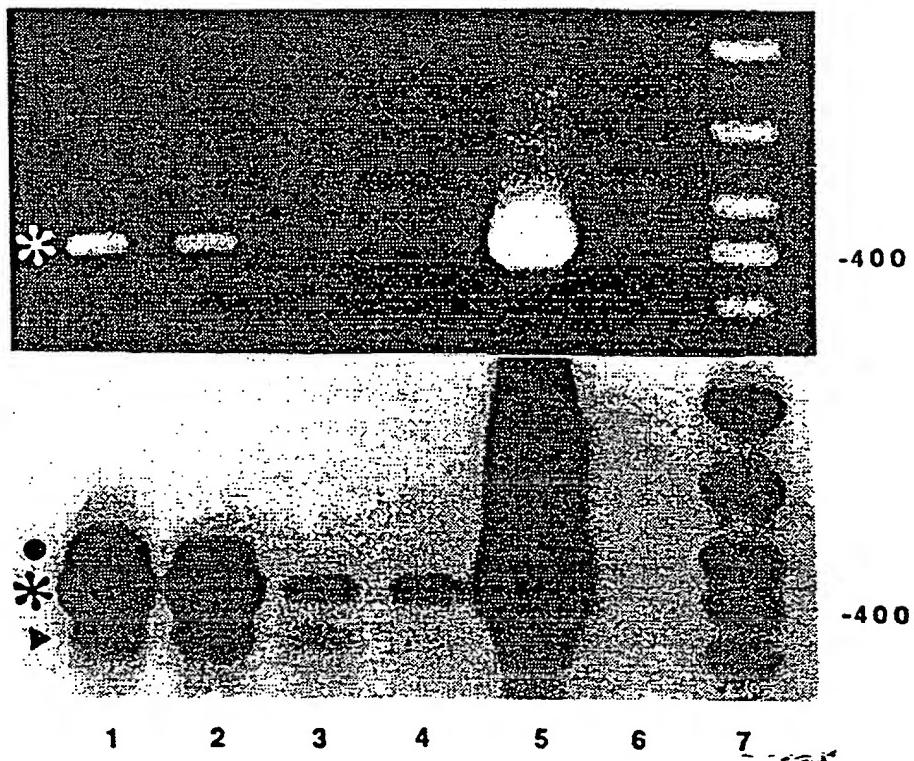


FIG. 1A

FIG. 1B  
SUBSTITUTE SHEET (RULE 26)

2 / 10

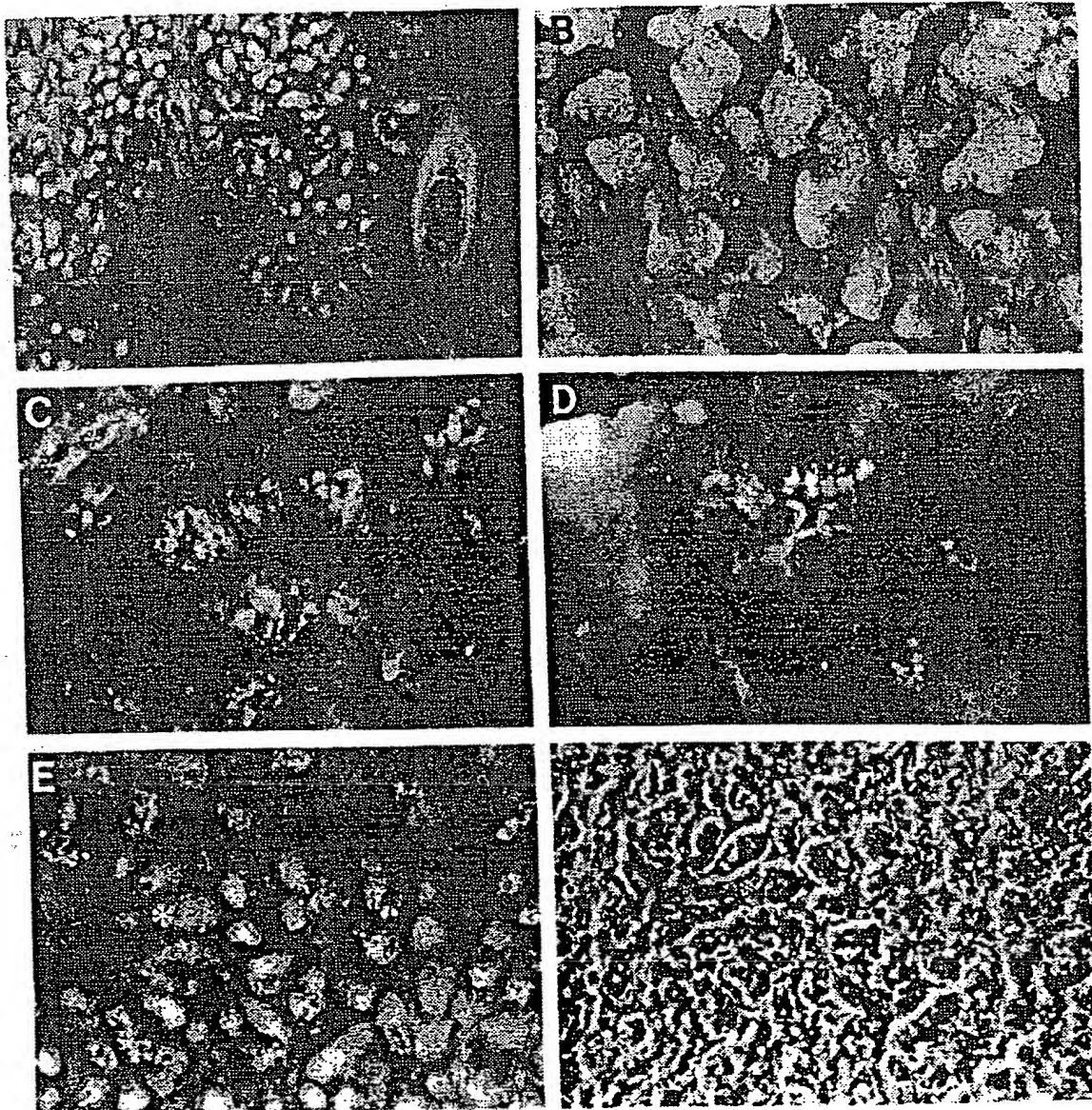


FIG. 2A

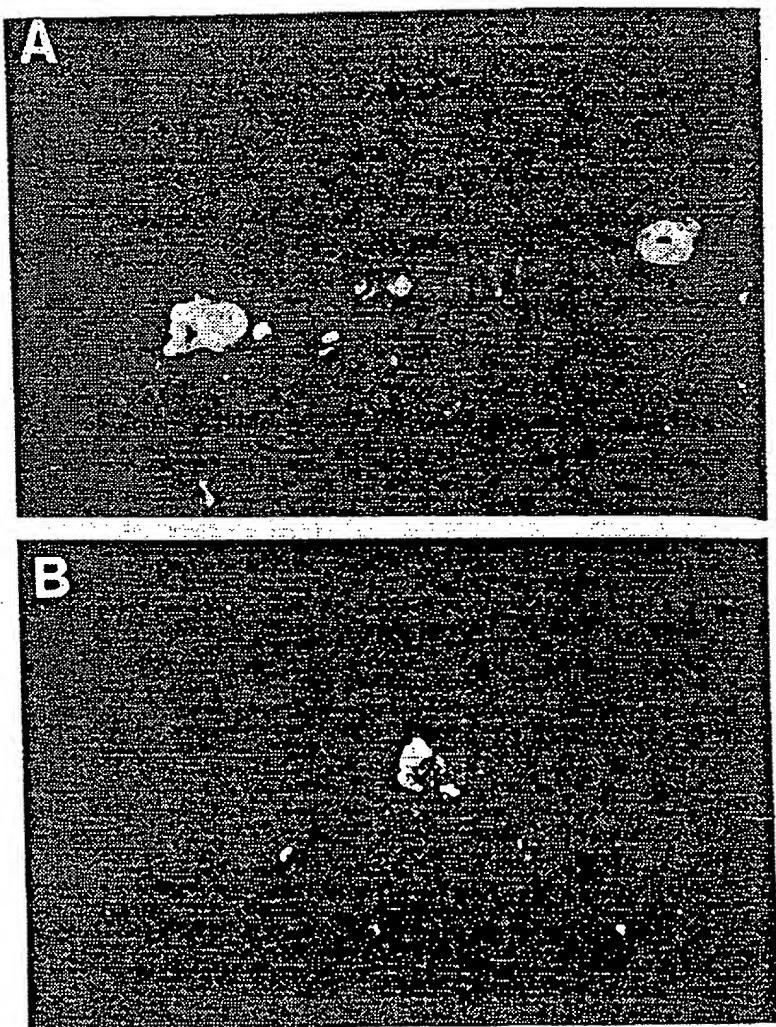


FIG. 2B

SUBSTITUTE SHEET (RULE 26)

4 / 10

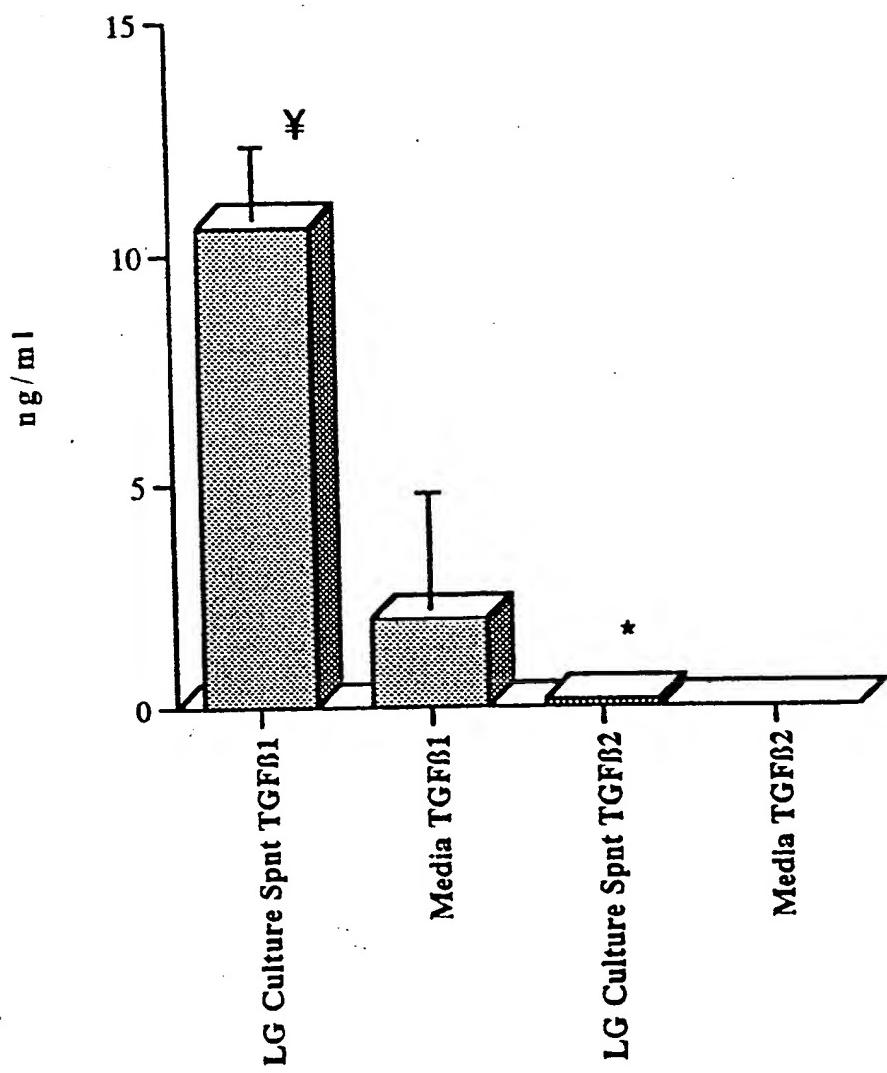


FIG. 3

5 / 10

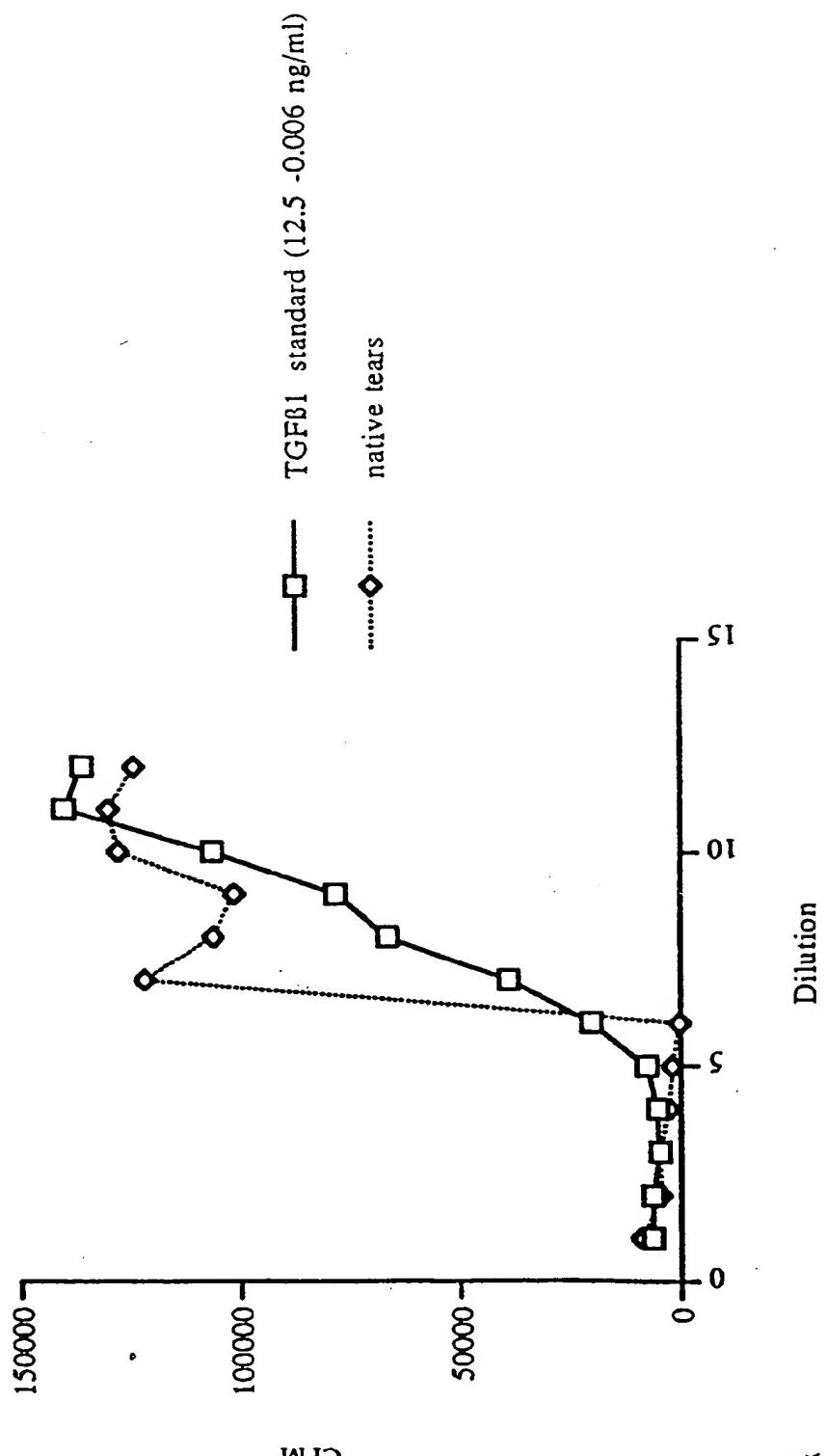


FIG. 4

SUBSTITUTE SHEET (RULE 26)

6 / 10

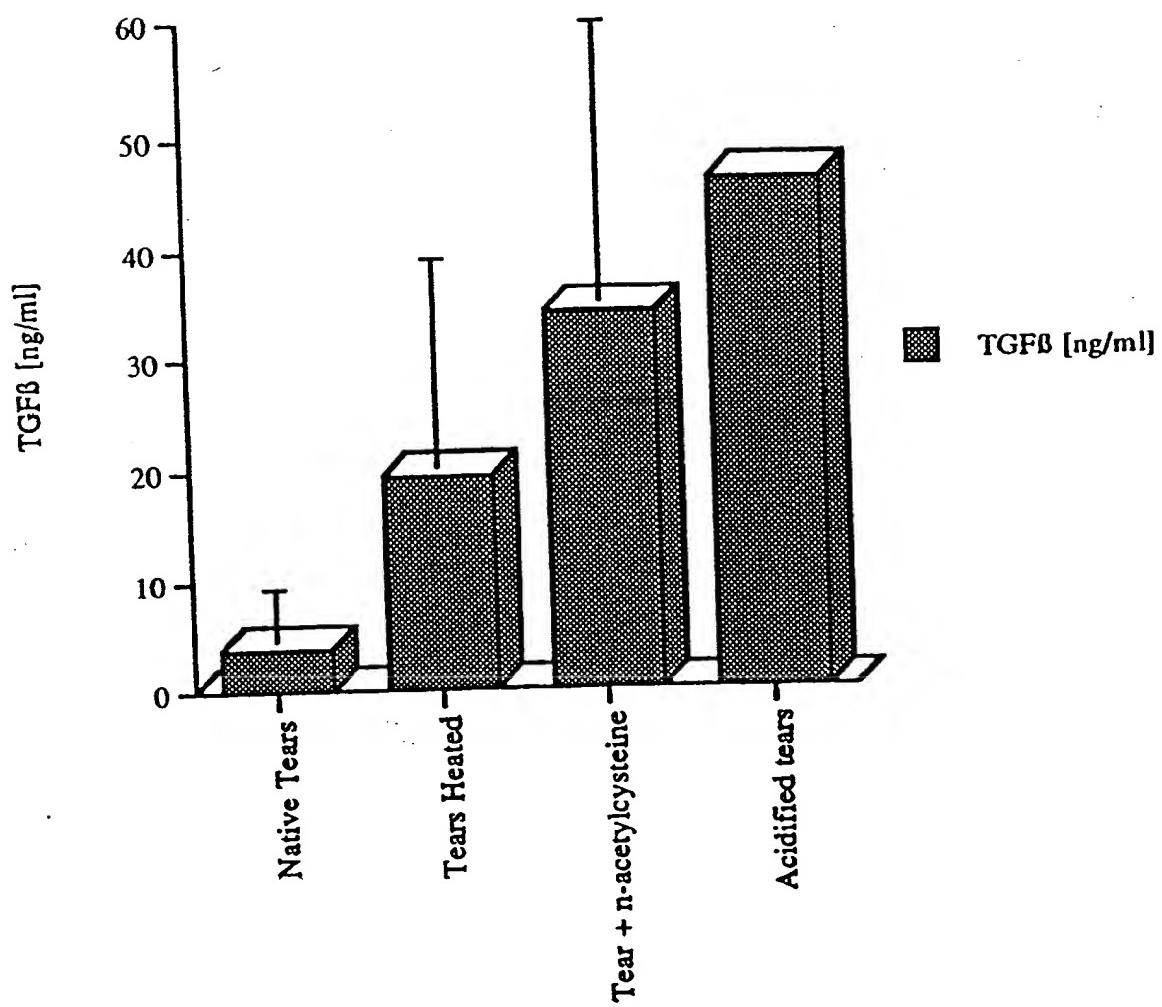


FIG. 5

7 / 10

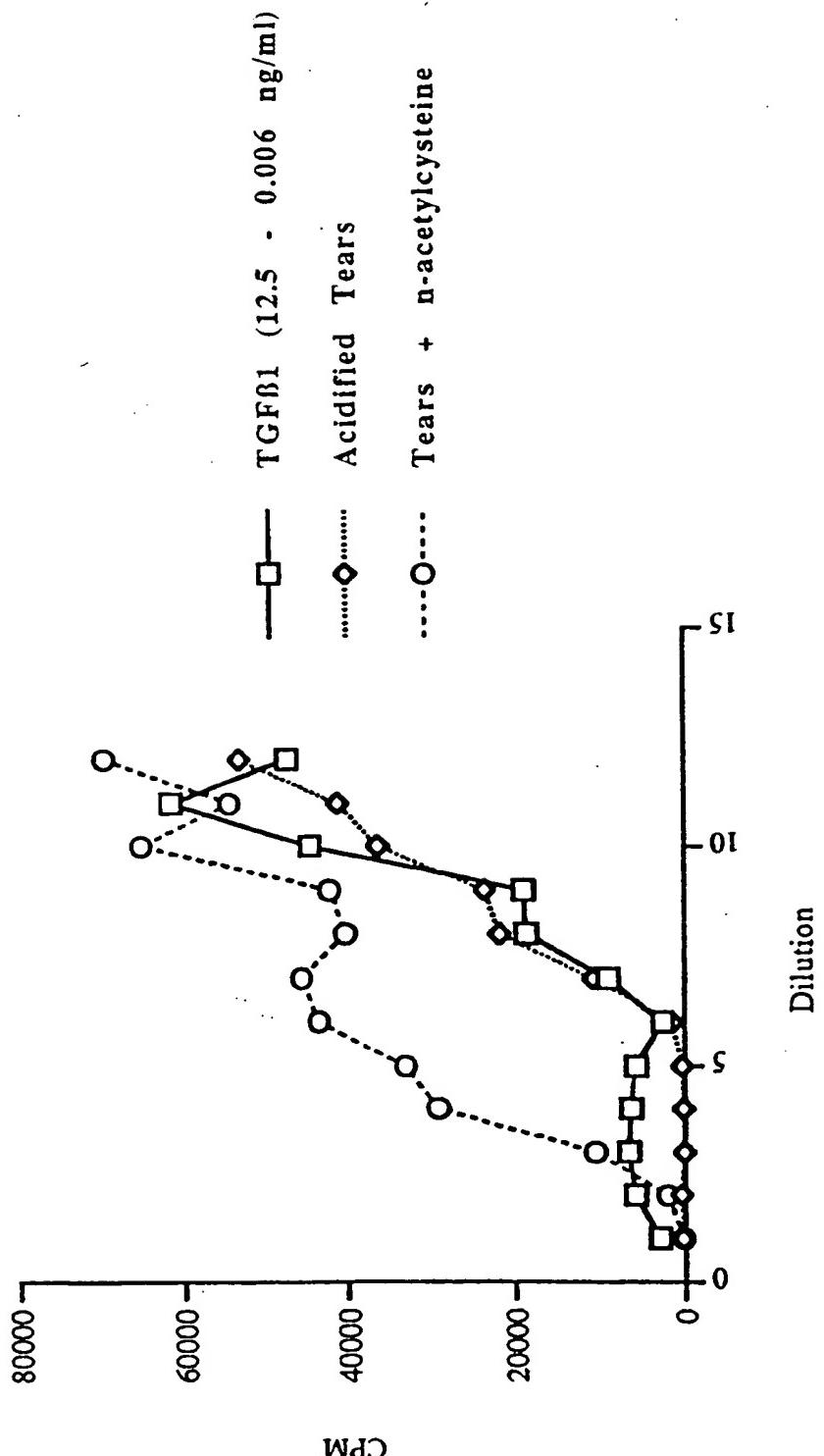
TGF $\beta$  Activity in Human Tears

FIG. 6

SUBSTITUTE SHEET (RULE 26)

8 / 10

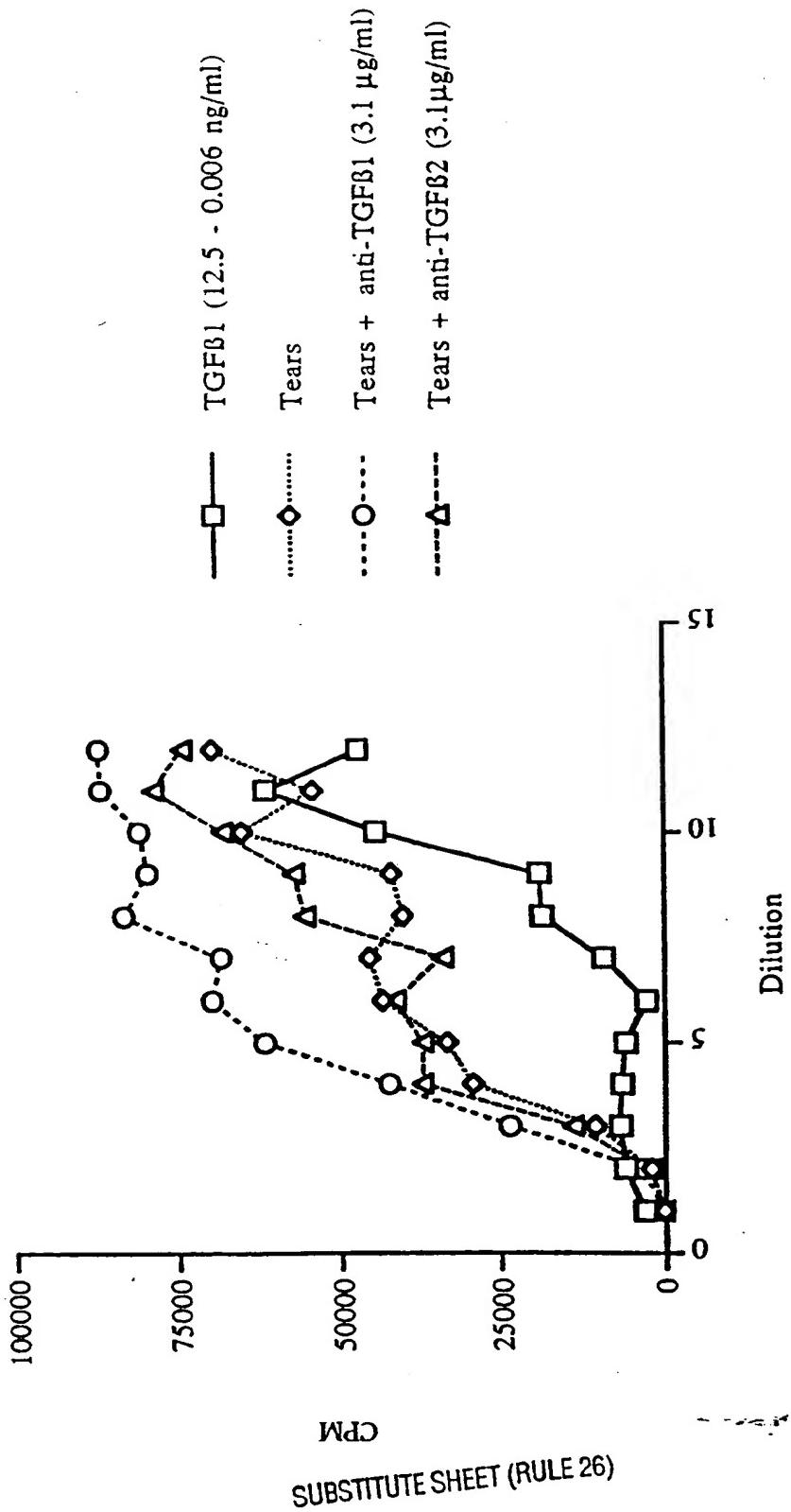
Neutralization of TGF $\beta$  in Human Tears

FIG. 7

9 / 10

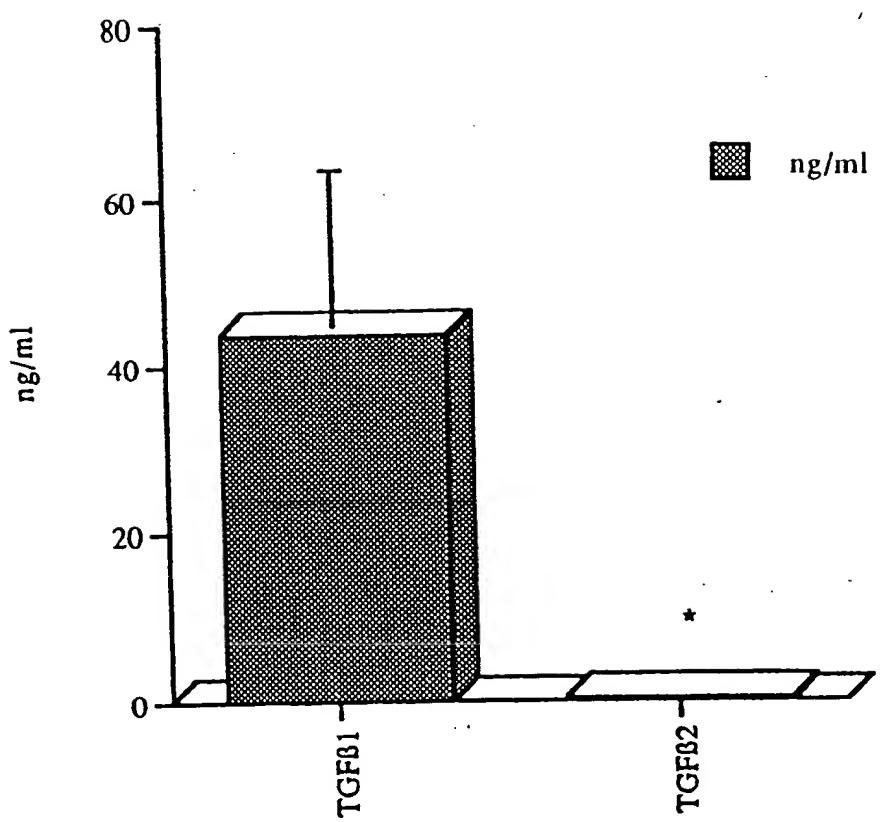


FIG. 8

10 / 10

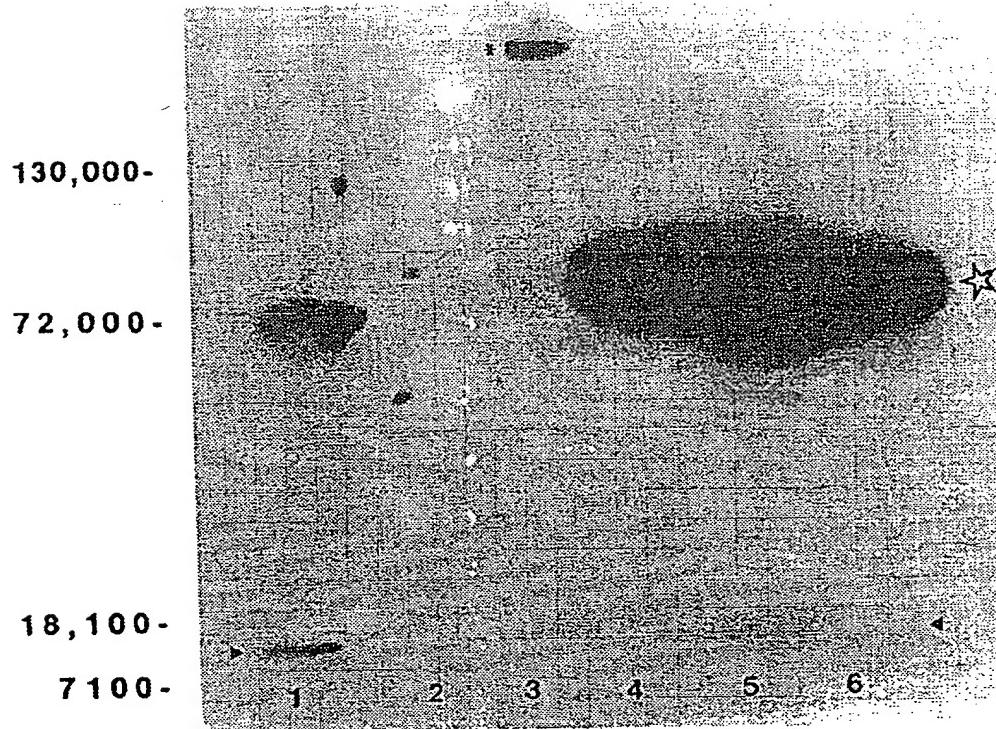
Immunoblot of human tears for TGF- $\beta$ 

FIG. 9

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/05015

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 38/19

US CL : 514/2, 912

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. :

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 5,212,162 (MISSEL et al.) 18 May 1993, see the entire patent.	1-5 and 12
Y	Proceedings of the Fourth International Symposium, issued 11-13 August 1993, (Amsterdam/New York), "The characterization of human lacrimal gland acinar and ductal epithelia in various culture system", pages 1-4, see entire document.	10, 11

Further documents are listed in the continuation of Box C.

See patent family annex.

- \* Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

24 JULY 1995

Date of mailing of the international search report

22 AUG 1995

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Faxsimile No. (703) 305-3230

Authorized officer

ZOHREH FAY aco

Telephone No. (703) 308-1235

Form PCT/ISA/210 (second sheet)(July 1992)\*

**THIS PAGE BLANK (USPTO)**